

# DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney Docket No. NETAP012

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SYSTEM AND METHOD FOR CUSTOMIZING AND PROCESSING BUSINESS LOGIC RULES IN A BUSINESS PROCESS SYSTEM**, the specification of which,

(check one)

1. ☒ is attached hereto.
2. ☐ was filed on \_\_\_\_\_ as  
U.S. Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.
3. ☐ was filed on \_\_\_\_\_ as  
International PCT Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

_____	_____	_____
(Appl. No.)	(Country)	(Filing Date)
_____	_____	_____
(Appl. No.)	(Country)	(Filing Date)

Priority Benefits Claimed?

☐ Yes ☐ No

☐ Yes ☐ No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

## Prior Provisional Application(s)

_____	_____
(Application No.)	(Filing Date)
_____	_____
(Application No.)	(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

## Prior U.S. Application(s)

_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

And I hereby appoint Jung-hua Kuo (Reg. No. 41,918) as my principal attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please Direct all Correspondence To: **Customer N . 23689**

Jung-hua Kuo  
Attorney at Law  
P.O. Box 3275  
Los Altos, CA 94024

Direct Telephone Calls To: Jung-hua Kuo at telephone number (650) 988-8070

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of  
Sole or First Inventor:

Geoff Grindrod

Citizenship: United States

Inventor's signature:

*Geoff Grindrod*

Date of Signature: 5/9/01

Residence: (City)

San Jose

(State/Country) CA/US

Mailing Address:

4301 Renaissance Drive #346, San Jose CA 95134

Full Name of Second Joint  
Inventor (if any):

Oto Slavos

Citizenship: Slovak Republic

Inventor's signature:

*Oto Slavos*

Date of Signature: 5/9/01

Residence: (City)

San Jose

(State/Country) CA/US

Mailing Address:

7231 Via Vico Way, San Jose CA 95129

Full Name of Third Joint  
Inventor (if any):

Saigiridhar Kodali

Citizenship: India

Inventor's signature:

*Saigiridhar Kodali*

Date of Signature: 05/09/01

Residence: (City)

Sunnyvale

(State/Country) CA/US

Mailing Address:

1273 Lakeside Drive #2157, Sunnyvale CA 94086

Full Name of Fourth Joint  
Inventor (if any):

Clinton Hallman

Citizenship: United States

Inventor's signature:

*Clinton Hallman*

Date of Signature: \_\_\_\_\_

Residence: (City)

Yardley

(State/Country) PA/US

Mailing Address:

1241 Pine Grove Road, Yardley PA 19067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: May 10, 20001	)	Attorney Docket No. NETAP012
	)	
For: System and Method for Customizing	)	
Business Logic Rules in a Business	)	
Process System	)	
	)	

**PETITION FOR APPLICATION BY OTHER THAN ALL THE INVENTORS**  
**Pursuant to 37 C.F.R. §1.47 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants Geoff Grindrod, Oto Slavos, and Saigiridhar Kodali (the "remaining joint inventors") hereby petition the Commissioner to accept for application the above-identified U.S. Patent Application by other than all of the inventors.

An Added Page to the Combined Declaration and Power of Attorney is attached hereto in which the remaining joint inventors have signed on behalf of the omitted inventor as required by 37 C.F.R. §1.64 and M.P.E.P. §409.03(a), and includes the last known address of the omitted inventor.

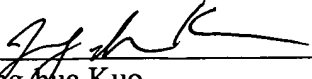
A Declaration of Facts in Support of Applying on Behalf of Omitted Inventor is also attached hereto and provides proof of the pertinent facts regarding the omitted inventor who refuses to sign as required by 37 C.F.R. §1.47(a) and M.P.E.P. §409.03(d).

In view of the refusal of the omitted inventor to sign the papers required for the above-identified application, the remaining joint inventors are believed to be entitled to



make such an application on behalf of and as agents for the omitted inventor. The required fee pursuant to 37 C.F.R. §1.17(i) is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Jung-hua Kuo  
Reg. No. 41,918

P.O. Box 3275  
Los Altos, CA 94024  
Telephone: (650) 988-8070  
Facsimile: (650) 988-8090

100750 04E090

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: Even date herewith	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing and	)	
Processing Business Logic Rules in a	)	
Business Process System	)	

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTOR ON BEHALF OF OMITTED  
INVENTOR WHO REFUSES TO SIGN OR CANNOT BE REACHED**  
Pursuant to 37 C.F.R. §1.47 (a)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above-named joint inventor and have signed this declaration on my own behalf and also sign this declaration under 37 CFR§1.47(a) on behalf of the omitted inventor who

X refuses to sign.

       cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067  
Last known address of omitted inventor

Geoff Grindrod  
Name

  
Signature

# PATENT

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTOR ON BEHALF OF OMITTED  
INVENTOR WHO REFUSES TO SIGN OR CANNOT BE REACHED  
Pursuant to 37 C.F.R. §1.47 (a)**

Ab Slaves  
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: Even date herewith	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing and	)	
Processing Business Logic Rules in a	)	
Business Process System	)	

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**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR SIGNATURE BY JOINT INVENTOR ON BEHALF OF OMITTED  
INVENTOR WHO REFUSES TO SIGN OR CANNOT BE REACHED**  
Pursuant to 37 C.F.R. §1.47 (a)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I am an above-named joint inventor and have signed this declaration on my own behalf and also sign this declaration under 37 CFR §1.47(a) on behalf of the omitted inventor who

  X   refuses to sign.

       cannot be found or reached.

Full name of first omitted inventor: Clinton Hallman

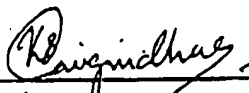
United States

Country of Citizenship of omitted inventor

1241 Pine Grove Road, Yardley, PA 19067

Last known address of omitted inventor

Saigiridhar Kodali  
Name

  
Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Grindrod et al.	)	Art Unit: Unassigned
	)	
Application No.: Not yet assigned	)	Examiner: Unassigned
	)	
Filed: May 10, 20001	)	Attorney Docket No.: NETAP012
	)	
For: System and Method for Customizing	)	
Business Logic Rules in a Business	)	
Process System	)	
	)	

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**DECLARATION OF FACTS IN SUPPORT OF APPLYING  
ON BEHALF OF AN OMITTED INVENTOR  
Pursuant to 37 C.F.R. §1.47 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This declaration is made as to the facts that are relied upon to establish the diligent effort made to secure the execution of the Declaration for the above-identified patent application by the omitted inventor. This declaration is being made by the available person having first-hand knowledge of the facts recited therein.


I, Jung-hua Kuo, do hereby declare:

A copy of the subject patent application, Combined Declaration and Power of Attorney, and Assignment were sent to inventor Clinton Hallman on May 3, 2001 (See Exhibit A).

I spoke to Clinton Hallman via telephone on May 3, 2001 and informed him that I was sending the documents. At this time Clinton Hallman was no longer employed by Assignee, Networks Associates Technology, Inc. Clinton Hallman informed me that he would not sign the documents.



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Jung-hua Kuo  
Reg. No. 41,918

## PATENT

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Los Altos, California 94024  
Tel: 650-988-8070  
Fax: 650-988-8090  
jkuo@kuolaw.com

The Assignment formally makes of record that Networks Associates Technology, Inc. owns this invention and that the inventors are transferring full ownership of the invention

to Networks Associates Technology, Inc. The Assignment *may but need not be* executed before a notary public. As with the Declaration, if you have any questions or uncover any errors or omissions, please call me and we will work out a solution before the signing of the Assignment.

Please note that we have a duty to disclose the most pertinent prior art of which we are aware to the Patent Office. All parties involved with the prosecution of this application (including the inventors) are under the duty to disclose. As you know, we have a duty to disclose to the Patent Office any patents, papers, and other public materials that we are aware that may be pertinent to the invention. If you know of any other references that should be cited, please let me know so that I can include them with an Information Disclosure Statement that will be filed with the Patent Office. Additionally, please remember that the duty to disclose pertinent prior art continues until the patent actually issues. Therefore, if you become aware of any references in the future, please let me know.

Once I receive the complete documents, I will file the application in the United States Patent and Trademark Office and send you copies of the papers as filed. If you have any questions, please do not hesitate to contact me.

Very truly yours,



Jung-hua Kuo

Enclosures

cc: Christopher J. Hamaty, Esq.

FOOTNOTES